

# Entities tax related information form



## Corporate Cash Manager: Entity self-certification

FOR INTERMEDIARY USE ONLY: Account number

Please ensure that you read this form carefully and that you understand it before signing it. Where you are not familiar with your tax status (including countries where you have tax obligations) it is recommended that you consult a professional tax adviser.

*Should any information provided change in the future, please make sure you advise Investec immediately.*

Please be advised that all questions (except sub-questions, unless applicable) **must** be answered.

### Section 1. Account holder details

Registered name	<input type="text"/>		
Registration number	<input type="text"/>	Registration country	<input type="text"/>
Country of incorporation / organisation / establishment	<input type="text"/>		
Current registered address	<input type="text"/>		
Suburb	<input type="text"/>	City	<input type="text"/>
Postal code	<input type="text"/>	Country	<input type="text"/>

### Section 2. To be completed by all entities

- Type of entity (e.g. trust, close corporation, proprietary limited)?
- Is the entity an active / operating / trading business? Yes  No
- What is the entity's main source of funds / income?
- Is the entity a government body / central bank / international organisation? Yes  No
- Does the entity have any tax obligations outside of the RSA? Yes  No
- Is the entity a Specified US Person?\* Yes  No 
  - If 'Yes', please provide the entity's US Tax Identification Number (TIN)
- Is the entity:
  - A passive NFE (entity earning passive income)?\*  (please complete Annex 1 with **all** Controlling Person information)
  - Or an active NFE (an active, operating business)?
  - Or a financial institution?
- 7.1. GIIN\* (if applicable)
- 7.2. If the entity is a financial institution and does not have a GIIN, please provide an explanation below:

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8. Is the entity a corporation, the stock of which is regularly traded on one or more established securities markets, or is the entity a corporation that is a related entity to such a corporation? Yes  No
- If 'Yes', please complete the following:
- The name of the regulated exchange
- The name of the listed and traded entity
9. Is the entity located in a non-participating jurisdiction and managed by another financial institution? Yes  No

### Section 3. Tax obligations/residency/reporting/filing requirements (Tax Country) including South Africa

Tax regulations require Investec to collect information about each account holder's residency. Tax regulations refers to regulations created to enable automatic exchange of information and include the US Foreign Account Tax Compliance Act; the agreement entered into between South Africa and the USA to improve international tax compliance; the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information; and the Common Reporting Standard. Investec may be obliged to report this information to SARS. Failure to provide the information, or provision of the incorrect information may result in Investec being required to report the account holder to SARS.

	Country(ies) of tax obligation/ residency reporting and/or filing requirements	Tax reference/Tax Identification Number (TIN)	Comment if TIN not applicable
1.	<input type="text"/>	<input type="text"/>	<input type="text"/>
2.	<input type="text"/>	<input type="text"/>	<input type="text"/>
3.	<input type="text"/>	<input type="text"/>	<input type="text"/>

If you do not have tax obligations in any country (including South Africa), please tick this box  and provide an explanation below

### Section 4. Sharing of information

As a new or existing Investec client you can choose to authorise Investec to share your existing personal and financial details that Investec has on file with any entity within the Investec Group (Investec Ltd, Investec plc and any of their subsidiaries) to:

- expedite account opening
- facilitate the maintenance of or opening of further accounts you have requested with other entities in the Investec Group
- assist the Investec Group to provide information you request regarding products and services
- manage the additional benefits of your account
- make decisions regarding the extension of credit you have requested
- keep your usual contact at Investec informed of the progress of your application for new accounts elsewhere in the Investec Group and vice versa
- have a better understanding of your circumstances to provide and improve the products and services Investec offers you

And, in respect of the Investec Group and/or any third party to:

- detect or prevent fraud or any other unlawful activity perpetrated against any entity within the Investec Group or any other party
- comply with any law, regulation, code or treaty

By signing below you acknowledge and consent that Investec may share your personal and financial information across the Investec Group and with any third party for these purposes. For information on how we process personal information, please refer to Investec Ltd's data protection statement at [www.investec.co.za/legal.html](http://www.investec.co.za/legal.html)

Section 5. Declaration and undertakings

The applicant warrants that all information provided to Investec in this self-certification is current, true, accurate and complete in every aspect and undertakes to notify Investec of any changes to information provided whether in terms of this certification or otherwise. In addition the applicant warrants that it has appropriate authority to provide and confirm Controlling Person information as applicable.

The applicant understands that the account may not be opened unless a valid self certification form is provided. The applicant understands that Investec is required to report to SARS on account holders who are US and/or foreign tax resident or who have unexplained US or foreign indicators, for example an address in the US or UK.

Name of signatory \_\_\_\_\_ Date \_\_\_\_\_ Signature \_\_\_\_\_

Name of signatory \_\_\_\_\_ Date \_\_\_\_\_ Signature \_\_\_\_\_

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\*Refer to notes for detailed definitions and explanations.